SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
News and Address of Deverting Devers

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person		2. Issuer Name and Ticker or Trading Symbol Axalta Coating Systems Ltd. [AXTA]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
McDougall Joseph F.		<u>India Couring Officiale Eta.</u> [Intin]		Director	10% Owner			
(Last) (First)	(Middle)		X	Officer (give title below)	Other (specify below)			
(Last) (First) TWO COMMERCE SQUARE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/15/2017		SVP&Chief Human R	esources Off.			
2001 MARKET STREET SUITE 3	3600							
(Street)		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	idual or Joint/Group Filing (C	heck Applicable Line)			
PHILADELPHIA PA	19103		X	Form filed by One Reporti Form filed by More than C	0			
(City) (State)	(Zip)			-				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, T		tion nstr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)
Common Shares	05/15/2017		S ⁽¹⁾		16,725 ⁽¹⁾	D	\$31.38 ⁽²⁾	102,551	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (li 8)		5. Numl Derivati Securiti Acquire or Disp (D) (Inst and 5)	ive ies ed (A) osed of	(Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan duly adopted by the reporting person in order to satisfy withholding taxes upon vesting of restricted common shares granted on May 12, 2015. 2. The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$31.37 to \$31.41. The reporting person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Remarks:

/s/ Jared T. Zane, attorney-in-fact 05/16/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.